

3. If any person bearing any of the following relationships to you is 1) currently being compensated by HEARTH for services rendered to it as described in Question 1 above; 2) has been so compensated within the previous 12 months; or 3) anticipates being so compensated within the next 12 months, please list his or her name in the following space and indicate the person's relationship to you by using the relationship designation below (if no such person is being compensated, please print the word "none" in the first space):

(Relationships: brother, sister, father, mother, uncle, aunt, spouse, brother-in-law, sister-in-law, son-in-law, daughter-in-law, father-in-law, etc.)

Name

Relationship

N/A

Additional Comments:

4. Are you a director, officer, employee or owner in any business or entity (e.g., a bank, real estate brokerage firm, consulting firm, construction company, insurance brokerage firm, architectural firm, law firm, accounting firm, medical group, etc.) which, to your knowledge, has done business within the last 12 months with HEARTH, or currently is or is contemplating doing business with HEARTH?

(Check One)

Yes

No

If yes, please explain (type of business, transaction, and relationship):

Please also explain your compensation or other financial arrangement, if any, with the identified business or entity concerning any compensation received by you, directly or indirectly, from any business transaction with HEARTH:

N/A
